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# **United States: Gun Ownership and the Supreme Court**



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# **Overview**

On June 26, 2008, in <u>District of Columbia v. Heller</u> (PDF), the United States Supreme Court issued its first decision since 1939 interpreting the Second Amendment to the United States Constitution. The Court ruled that the Second Amendment to the U.S. Constitution confers an individual right to possess a firearm for traditionally lawful purposes such as self-defense. It also ruled that two District of Columbia provisions, one that banned handguns and one that required lawful firearms in the home to be disassembled or trigger-locked, violate this right.

The Second Amendment, one of the ten amendments to the Constitution comprising the <u>Bill of Rights</u>, states: "A well regulated Militia, being necessary to the security of a free State, the right of the people to keep and bear Arms, shall not be infringed." The meaning of this sentence is not self-evident, and has given rise to much commentary but relatively few Supreme Court decisions.

In cases in the 19th Century, the Supreme Court ruled that the Second Amendment does not bar state regulation of firearms. For example, in *United States v. Cruikshank (external link)*, 92 U.S. 542, 553 (1875), the Court stated that the Second Amendment "has no other effect than to restrict the powers of the national government," and in *Presser v. Illinois* (external link), 116 U.S. 252, 265 (1886), the Court reiterated that the Second Amendment "is a limitation only upon the power of Congress and the National government, and not upon that of the States." Although most of the rights in the Bill of Rights have been selectively incorporated (PDF) into the rights guaranteed by the Fourteenth Amendment (external link) and thus cannot be impaired by state governments, the Second Amendment has never been so incorporated.

Prior to *District of Columbia v. Heller*, the last time the Supreme Court interpreted the Second Amendment was in *United States v. Miller (external link)*, 307 U.S. 174 (1939). In that case, Jack Miller and one other person were indicted for transporting an unregistered sawed-off shotgun across state lines in violation of the National Firearms Act of 1934. Miller argued, among other things, that the section of the National Firearms Act regulating the interstate transport of certain firearms violated the Second Amendment. The U.S. District Court for the Western District of Arkansas agreed with Miller. The case was appealed directly to the Supreme Court, which reversed the district court. The Supreme Court read the Second Amendment in conjunction with the Militia Clause in Article 1, Section 8 (external link) of the Constitution, and concluded that "[i]n the absence of any evidence tending to show

that possession or use of a [sawed-off] shotgun . . . has some reasonable relationship to the preservation or efficiency of a well regulated militia, we cannot say that the Second Amendment guarantees the right to keep and bear such an instrument." 307 U.S. at 178. The Court concluded that the district court erred in holding the National Firearms Act provisions unconstitutional.

Since *United States v. Miller*, most federal court decisions considering the Second Amendment have interpreted it as preserving the authority of the states to maintain militias. Several of the post-*Miller* lower court opinions are discussed <u>here (external link)</u> (PDF).

The Supreme Court's consideration of the Second Amendment this term was precipitated by the U.S. Court of Appeals for the District of Columbia Circuit's decision in *Parker v. District of Columbia* (PDF), 478 F.3d 370 (D.C. App. 2007). There, the D.C. Circuit, in a 2-1 decision, ruled that three District of Columbia laws regarding private gun ownership - namely a ban on new registration of handguns, a ban on carrying a pistol without a license, and a requirement that firearms be kept unloaded and locked - violated the Second Amendment. The court held that individuals have a right under the Second Amendment to own handguns for their own personal protection and keep them in their home without placing a trigger lock on them. This is the first decision since the Supreme Court decided *Miller* in which a federal court overturned a law regulating firearms based on the Second Amendment.

Following the D.C. Circuit's decision not to rehear the case, the District of Columbia Government filed a petition for certiorari for review of the decision by the Supreme Court. The documents before the Supreme Court at the petition for certiorari stage have been collected <a href="here">here</a> (external link).

On November 20, 2007, the Supreme Court granted (PDF) the petition for certiorari. The Court framed the question for which it granted review as follows: "Whether the following provisions – D.C. Code §§ 7-2502.02(a)(4), 22-4504(a), and 7-2507.02 – violate the Second Amendment rights of individuals who are not affiliated with any state-regulated militia, but who wish to keep handguns and other firearms for private use in their homes?"

The briefs on the merits by the District of Columbia and respondent Dick Anthony Heller, as well as amicus briefs by some 67 "friends of the court," have been collected <a href="here">here</a> (external link).

In its June 26 decision, a 5-4 majority of the Supreme Court ruled that the Second Amendment confers an individual right to keep and bear arms, and that the D.C. provisions banning handguns and requiring firearms in the home disassembled or locked violate this right.

In the majority opinion authored by Justice Antonin Scalia, the Court first conducted a textual analysis of the operative clause, "the right of the people to keep and bear Arms, shall not be infringed." The Court found that this language guarantees an individual right to possess and carry weapons. The Court examined historical evidence that it found consistent with its textual analysis. The Court then considered the Second Amendment's prefatory clause, "[a] well regulated Militia, being necessary to the security of a free State," and determined that while this clause announces a purpose for recognizing an individual right to keep and bear arms, it does not limit the operative clause. The Court found that analogous contemporaneous provisions in state constitutions, the Second Amendment's drafting history, and post-ratification interpretations were consistent with its interpretation of the amendment. The Court asserted that its prior precedent was not inconsistent with its interpretation.

The Court stated that the right to keep and bear arms is subject to regulation, such as concealed weapons prohibitions, limits on the rights of felons and the mentally ill, laws forbidding the carrying of weapons in certain locations, laws imposing conditions on commercial sales, and prohibitions on the carrying of dangerous and unusual weapons. It stated that this was not an exhaustive list of the regulatory measures that would be presumptively permissible under the Second Amendment.

The Court found that the D.C. ban on handgun possession violated the Second Amendment right because it prohibited an entire class of arms favored for the lawful purpose of self-defense in the home. It similarly found that the requirement that lawful firearms be disassembled or bound by a trigger lock made it impossible for citizens to effectively use arms for the core lawful purpose of self-defense, and therefore violated the Second Amendment right. The Court said it was unnecessary to address the constitutionality of the D.C. licensing requirement.

Four Justices dissented, each of which signed both of two dissenting opinions. One, by Justice Stevens, examined historical evidence on the meaning of the Second Amendment to conclude that the amendment protects militia-related interests. A second dissenting opinion, by Justice Breyer, stated that even if the Second Amendment protects a separate interest in individual self-defense, the District of Columbia provisions at issue are permissible forms of regulation.

The outcome of D.C. v. Heller leaves some issues unanswered, including whether the Second Amendment restricts state regulation of firearms, and the standard for evaluating the constitutionality of other laws and regulations that impact the Second Amendment

right. These issues will be the subject of future litigation.

As background to the Court's decision, the following is a selective bibliography listing only some of the substantial literature of books and journal articles on the Second Amendment.

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